

OBAM/ Registration document

1 October 2022



Introduction

This document serves as the registration document of OBAM Investment Management B.V. (**the Management Company**) as referred to in section 4:48 Dutch Financial Supervision Act (**Wft**) and section 117 Decree Conduct Supervision Financial Undertakings (**BGfo**). This document outlines the information as required by Annex H to section 117 BGfo. This registration document will be amended or supplemented if and insofar as required by the law or any regulations based thereon.

In this registration document, the terms and abbreviations written with capital letters have the meanings as given below. Where a term is written in the singular, it may also be read as a plural and vice versa.

AFM	Dutch Financial Supervisory Authority (Stichting Autoriteit Financiële Markten)
Management Company	OBAM Investment Management B.V.
Depository	BNP Paribas S.A., Netherlands Branch
BGfo	Decree Conduct Supervision Financial Undertakings (Besluit Gedragtoezicht financiële ondernemingen)
UCITS	Undertaking for Collective Investment in Transferable Securities, as defined in Section 1:1 of the Wft
Website	www.obam.nl
Wft	Dutch Financial Supervision Act (Wet op het financieel toezicht)

1. Information on the activities of a management company of a UCITS

The Management Company has been granted by a license by the AFM pursuant to section 2:69b Wft to manage a UCITS. The activities of the Management Company consist of managing OBAM N.V., an open-end UCITS that will be distributed to both retail and institutional investors. The Management Company is, among other things, responsible for determining and implementing the investment policy of OBAM N.V. as well as for conducting the administration, risk management and marketing and distribution. Furthermore, the Management Company acts as director of OBAM N.V.

The Management Company has also been granted by a license by the AFM pursuant to section 2:69c to provide individual portfolio management services and investment advice to professional clients in the Netherlands.

2. Particulars concerning the persons who (jointly) determine the (day-to-day) policy of the management company of the UCITS and any depositary of the UCITS or who are part of a supervisory body of the management company of the UCITS and any depositary of the UCITS

A. Management Company

The management board determines the day-to-day policy of the **Management Company** and comprises the following persons:

- Mr S.H.W. Zondag
- Mr I. Habets

The **Management Company** has a supervisory board comprising:

- Mr J.C. Kragt
- Mr L. Meijaard
- Mr C.J.M. Janssen

The persons who jointly determine the day-to-day policy of the **Management Company** are:

- REX1936 Holding B.V.
 - Mr S.H.W. Zondag
 - Mr I. Habets
- Stichting Administratiekantoor REX1936
 - Mr S.H.W. Zondag
 - Mr I. Habets

B. Depositary

The day-to-day policy of the **Depositary** is determined by:

- Mr J.L. Bonnafé
- Mr A.P. Laborde Thierry

- Mr Y.N. Gerardin
- Mr M. Schilstra (Head of Netherlands Branch)

The Depositary has a non-executive board responsible for overseeing the policy and general affairs of the Depositary. The non-executive board is chaired by Mr J. Lemierre.

3. General information concerning the management company of the UCITS and the depositary of the UCITS

A. General information on the Management Company

Name	OBAM Investment Management B.V.
Legal form	Private company with limited liability
Registered office	Amsterdam
Place of primary business	Schiphol
Date of incorporation	17 September 2019
Company registration number trade register	75849925
Place of registration in the trade register	Amsterdam

The shares of the Management Company are solely held by REX1936 Holding B.V. (100%). Annex A of this registration document outlines the organization chart of the Management Company.

B. General information on the Depositary

Name	BNP Paribas S.A., Netherlands Branch
Legal form	Société Anonyme
Registered office	Paris
Place of primary business	Paris
Date of incorporation	23 September 1966 (Netherlands Branch: 15 March 2013)
Company registration number trade register	33148246
Place of registration in the trade register	Amsterdam

The Depositary's shares are listed on Euronext Paris.

4. Financial information concerning the management company of the UCITS and the depositary of the UCITS

An external auditor has certified that the Management Company meets the minimum equity requirements as outlined in section 3:55 and 3:57 Wft. The Depositary complies with the minimum equity requirements and prudential capital requirements as outlined in applicable laws and regulations.

The annual financial statements of the Management Company have been approved by the Management Company's external auditor. The annual financial statements and the external auditor's confirmation statement are included in the annual report of the Management Company. The annual report of the Management Company is published on the Website.

The annual financial statements of the Depositary have been approved by the external auditor of the Depositary. The annual financial statements and the external auditor's confirmation statement are included in the Depositary's annual report. A link to the annual report of the Depositary is published on the Website.

5 Details on information provision

The Management Company issues notices and provides periodic information by publication in one or more national daily newspapers in the jurisdictions where the shares of OBAM N.V. are marketed or on the Website.

The financial year of the Management Company is concurrent with the calendar year. The Management Company is obliged pursuant to Section 4:52, subsection 1 of the Wft to publish within four months of the end of the financial year the adopted annual financial statements or, if the annual financial statements have not (yet) been adopted, the annual financial statements prepared simultaneously with the annual report and other information as referred to in Section 392 of Book 2 of the Dutch Civil Code. The Management Company is also obliged to publish a semi-annual report pursuant to Section 4:52, subsection 2 of the Wft within nine weeks of the end of the first half of the financial year.

The financial year of the Depositary is concurrent with the calendar year. Under French laws and regulations, the Depositary is obliged to publish its adopted annual financial statements within four months after the end of each financial year.

The articles of association, annual reports (including the annual financial statements) of the Management Company and the Depositary, the semi-annual report (including the semi-annual financial statements) of the Management Company are published on the Website. This information can also be requested free of charge from the Management Company.

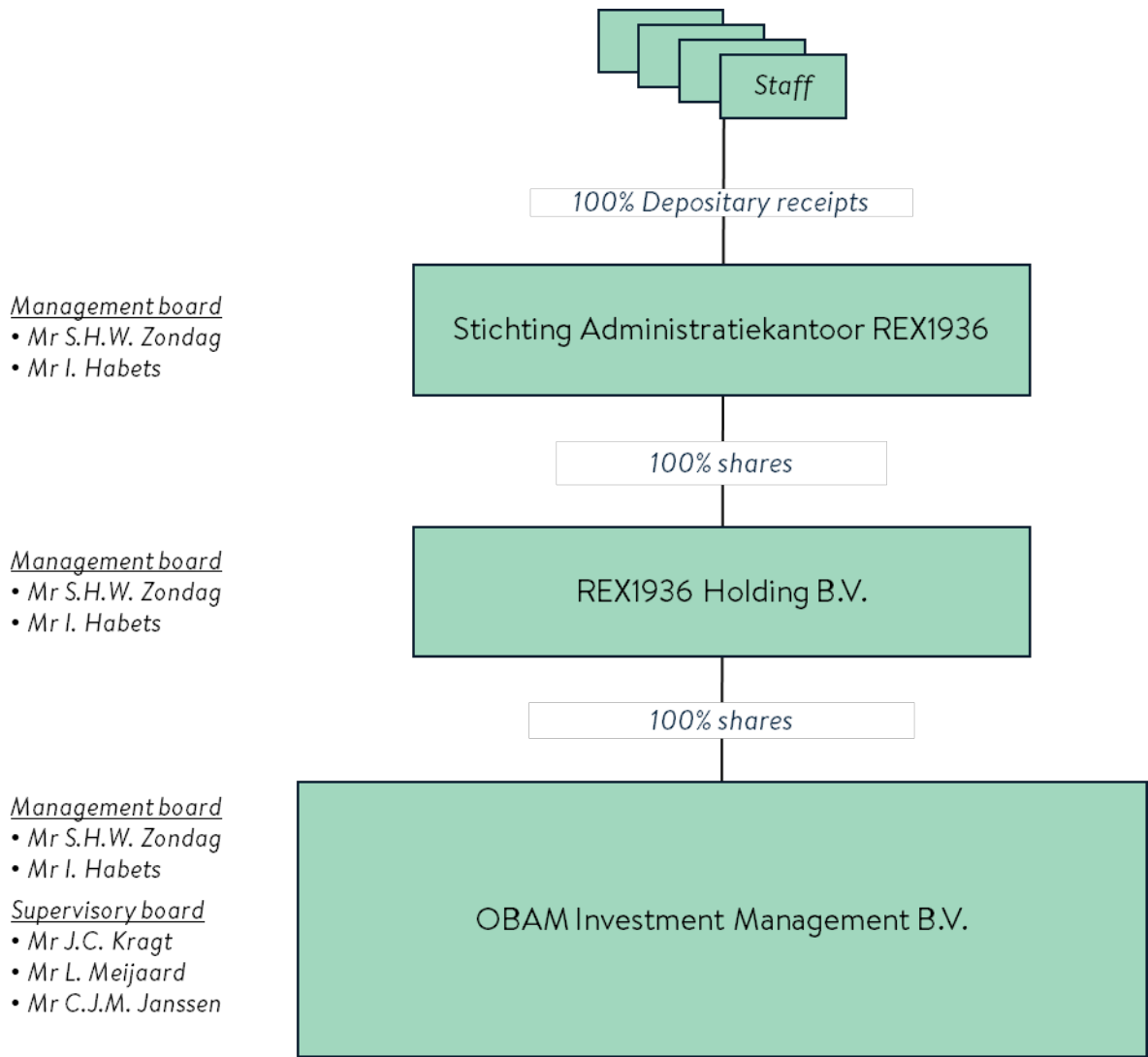
6 Information on replacement of the manager of a UCITS or the depositary of a UCITS

Both OBAM N.V. and the Management Company may terminate the Management Company's appointment with due regard to the termination terms and conditions as outlined in the investment management agreement concluded between the Management Company and OBAM N.V. The Management Company will continue to provide its services until a new management company has been selected and appointed and will cooperate to ensure that the new management company can manage OBAM N.V. effectively.

The Manager declares that, in the event it applies to the AFM for its license to be revoked (as referred to in Section 1:104(1)(a) of the Financial Supervision Act), this will be announced in a Dutch national daily newspaper or to the address of each investor as well as on the Website.

Both the Management Company and the Depositary may terminate the Depositary's appointment as depositary of OBAM N.V. with due regard to the termination terms and conditions as outlined in the agreement concluded between the Management Company, the Depositary and OBAM N.V. The Management Company will then select and appoint a new depositary. The current Depositary will continue to provide depositary services until a new depositary has been selected and appointed.

Annex A – Organization chart of the Management Company



Annex B – Organization chart of the Depositary

Board of Directors

- J. Lemierre
- J.L. Bonnafé
- J. Aschenbroich
- J. Brisac
- P.A. de Chalendar
- M. Cohen
- W. de Ploey
- H. Epailard
- R. Gibson-Brandon
- M. Guillou
- C. Noyer
- D. Schwarzer
- M. Tilmant
- S. Verrier
- F. Wicker-Miurin

Branch director

- M. Schilstra

